

## CERTIFICATION & SWORN STATEMENT LOBBYING

1) This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_ (Print Individual's Name & Title)

for \_\_\_\_\_ (Print Name of Contractor Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

The Contractor agrees to and certifies, to the best of the Contractor's knowledge and belief, that:

- 2) As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, in providing the services to the community as described in the Contract between Workforce Alliance, Inc. and Contractor, that Contractor is performing a public function and shall, during the period of the Contract, refrain from endorsing political candidates and taking other political actions that would be illegal if taken by the Alliance.
- 3) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of a Contractor, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 4) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 5) The undersigned shall require that the language of this certification be included in the award documents for "all" sub-awards at all tiers (including subcontracts, subgrants and contracts under grants, loans, and cooperative agreements) and that all\* subrecipients shall certify and disclose accordingly.

This certification is a material representative of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_\_  
Name & Title Printed of Certifying Contractor Representative

\_\_\_\_\_  
Signature of Certifying Contractor Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_ who, after first being sworn by me, affixed his/her signature in the space provided above on this

\_\_\_\_\_ day of \_\_\_\_\_, 2011.

\_\_\_\_\_  
(NOTARY PUBLIC)  
My Commission Expires:

## SUSPENSION AND DEBARMENT CERTIFICATION

1) This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_  
(Print Individual's Name & Title)

for \_\_\_\_\_  
(Print Name of Contractor Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

The Contractor agrees to and certifies, to the best of the Contractor's knowledge and belief, that:

- 2) Contractor has and shall comply with the Federal Regulations implementing Executive Order 12505, Debarment and Suspension 29 CFR Part 98, and in doing so provides to Workforce Alliance, Inc., this certification that neither Contractor, nor its principals, are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal Department or Agency.
- 3) Additionally, the Contractor shall comply with said regulation and requirement with regards to its subcontractors or assignees. It shall ensure and require the same certification from its subcontractors or assignees, which shall be forwarded to Workforce Alliance, Inc. along with the notification of assignment or subcontract of the Contract.

\_\_\_\_\_  
Name & Title Printed of Certifying Contractor Representative

\_\_\_\_\_  
Signature of Certifying Contractor Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_  
who, after first being sworn by me, affixed his/her signature in the space provided above on this  
\_\_\_\_\_ day of \_\_\_\_\_, 2011.

\_\_\_\_\_  
(NOTARY PUBLIC)  
My Commission Expires:

**CERTIFICATION & SWORN STATEMENT PURSUANT TO SECTION 287.133(3)(a),  
FLORIDA STATUTES, ON PUBLIC ENTITY CRIMES**

1. This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_

(Print Individual's Name & Title)

for \_\_\_\_\_

(Print Name of Contractor Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

2. I understand that a "public entity crime" as defined in Paragraph 287.133(1)(g), Florida Statutes, means a violation of any state or federal law by a person with respect to and directly related to the transaction of business with any public entity or with an agency or political subdivision of any other state or of the United States, including, but not limited to, any bid or contract for goods or services, any lease for real property, or any contract for the construction or repair of a public building or public work, involving antitrust, fraud, theft, bribery, collusion, racketeering, conspiracy, or material misrepresentation.
3. I understand that "convicted" or "conviction" as defined in Paragraph 287.133(1)(b), Florida Statutes, means a finding of guilt or a conviction of a public entity crime, with or without an adjudication of guilt, in any federal or state trial court of record relating to charges brought by indictment or information as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere.
4. I understand that an "affiliate" as defined in Paragraph 287.133(1)(a), Florida Statutes, means:
  - a. A predecessor or successor of a person convicted of a public entity crime; or
  - b. An entity under the control of any natural person who is active in the management of the entity and who has been convicted of a public entity crime. The term "affiliate" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in the management of an affiliate. The ownership by one person of shares constituting a controlling interest in another person, or a pooling of equipment or income among persons when not for fair market value under an arm's length agreement, shall be a prima facie case that one person controls another person. A person who knowingly enters into a joint venture with a person who has been convicted of a public entity crime in Florida during the preceding 36 months shall be considered an affiliate.
5. I understand that a "person" as defined in Paragraph 287.133(1)(e), Florida Statutes, means any natural person or any entity organized under the laws of any state or of the United States with the legal power to enter into a binding contract and which bids or applies to bid on contracts let by a public entity, or which otherwise transacts or applies to transact business with a public entity. The term "person" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in management of an entity.

**SWORN STATEMENT PURSUANT TO SECTION 287.133(3)(a),  
FLORIDA STATUTES, ON PUBLIC ENTITY CRIMES**

6. Based on information and belief, the statement which I have marked below is true in relation to the entity submitting this sworn statement. (indicate which statement applies)

\_\_\_\_\_ Neither the entity submitting this sworn statement, nor any of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the entity, nor any affiliate of the entity has been charged with and convicted of a public entity crime.

\_\_\_\_\_ The entity submitting this sworn statement, or one or more of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the entity or an affiliate of the entity has been charged with and convicted of a public entity crime.

\_\_\_\_\_ The entity submitting this sworn statement, or one or more of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the entity, or an affiliate of the entity has been charged with and convicted of a public entity crime. However, there has been a subsequent proceeding before a Hearing Officer of the State of Florida, Division of Administrative Hearings and the Final Order entered by the Hearing Officer determined that it was not in the public interest to place the entity submitting this sworn statement on the convicted vendor list. (attach a copy of the final order)

I UNDERSTAND THAT I AM REQUIRED TO INFORM WORKFORCE ALLIANCE, INC. PURSUANT TO FLORIDA STATUTES 287 OF ANY CHANGE IN THE INFORMATION CONTAINED IN THIS FORM.

\_\_\_\_\_  
Name & Title Printed of Certifying Contractor Representative

\_\_\_\_\_  
Signature of Certifying Contractor Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_  
who, after first being sworn by me, affixed his/her signature in the space provided above on this  
\_\_\_\_\_ day of \_\_\_\_\_, 2011.

\_\_\_\_\_  
(NOTARY PUBLIC)

My Commission Expires:

**EQUAL OPPORTUNITY ASSURANCE STATEMENT**

Contractor, \_\_\_\_\_, assures that it will comply fully with the nondiscrimination and equal opportunity provisions of the following laws:

1. Section 188 of the Workforce Investment Act of 1998 (WIA) which prohibits discrimination against all individuals in the United States on the basis of race, color, religion, sex, national origin, age, disability, political affiliation, or belief, and against beneficiaries on the basis of either citizenship/status as a lawfully admitted immigrant authorized to work in the United States;
2. Title VI of the Civil Rights Act of 1964, as amended, which prohibits discrimination on the basis of race, color, and national origin;
3. Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits discrimination against qualified individuals with disabilities;
4. The Age Discrimination Act of 1975, as amended, which prohibits discrimination on the basis of age; and
5. Title IX of the Education Amendments of 1972, as amended, which prohibits discrimination on the basis of sex in educational programs.
6. Contractor also assures that it will comply with 29 C.F.R. Part 37 and all other regulations implementing the laws listed above. Contractor understands that Workforce Alliance, Inc. and the United States have the right to seek judicial enforcement of the assurance.

By signing below Contractor certifies and assures that it will fully comply with the applicable assurances outlined above.

\_\_\_\_\_  
Signature of Certifying Contractor Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_ who,  
after first being sworn by me, affixed his/her signature in the space provided above on this \_\_\_\_ day of  
\_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
(NOTARY PUBLIC)

My Commission Expires: