

**TAB 2: EXHIBIT B CERTIFICATION FORMS**

**CERTIFICATION & SWORN STATEMENT PURSUANT TO SECTION 287.133(3)(a),  
FLORIDA STATUTES, ON PUBLIC ENTITY CRIMES**

1. This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_ (Print Individual's Name & Title)

for \_\_\_\_\_ (Print Name of Proposer Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

2. I understand that a "public entity crime" as defined in Paragraph 287.133(1)(g), Florida Statutes, means a violation of any state or federal law by a person with respect to and directly related to the transaction of business with any public entity or with an agency or political subdivision of any other state or of the United States, including, but not limited to, any bid or contract for goods or services, any lease for real property, or any contract for the construction or repair of a public building or public work, involving antitrust, fraud, theft, bribery, collusion, racketeering, conspiracy, or material misrepresentation.
3. I understand that "convicted" or "conviction" as defined in Paragraph 287.133(1)(b), Florida Statutes, means a finding of guilt or a conviction of a public entity crime, with or without an adjudication of guilt, in any federal or state trial court of record relating to charges brought by indictment or information as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere.
4. I understand that an "affiliate" as defined in Paragraph 287.133(1)(a), Florida Statutes, means:
  - a. A predecessor or successor of a person convicted of a public entity crime; or
  - b. An entity under the control of any natural person who is active in the management of the entity and who has been convicted of a public entity crime. The term "affiliate" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in the management of an affiliate. The ownership by one person of shares constituting a controlling interest in another person, or a pooling of equipment or income among persons when not for fair market value under an arm's length agreement, shall be a prima facie case that one person controls another person. A person who knowingly enters into a joint venture with a person who has been convicted of a public entity crime in Florida during the preceding 36 months shall be considered an affiliate.
5. I understand that a "person" as defined in Paragraph 287.133(1)(e), Florida Statutes, means any natural person or any entity organized under the laws of any state or of the United States with the legal power to enter into a binding contract and which bids or applies to bid on contracts let by a public entity, or which otherwise transacts or applies to transact business with a public entity. The term "person" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in management of an entity.

6. Based on information and belief, the statement which I have marked below is true in relation to the entity submitting this sworn statement. (indicate which statement applies)

\_\_\_\_\_ Neither the entity submitting this sworn statement, nor any of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the entity, nor any affiliate of the entity has been charged with and convicted of a public entity crime.

\_\_\_\_\_ The entity submitting this sworn statement, or one or more of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the entity or an affiliate of the entity has been charged with and convicted of a public entity crime.

\_\_\_\_\_ The entity submitting this sworn statement, or one or more of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the entity, or an affiliate of the entity has been charged with and convicted of a public entity crime. However, there has been a subsequent proceeding before a Hearing Officer of the State of Florida, Division of Administrative Hearings and the Final Order entered by the Hearing Officer determined that it was not in the public interest to place the entity submitting this sworn statement on the convicted vendor list. (attach a copy of the final order)

I UNDERSTAND THAT I AM REQUIRED TO INFORM WORKFORCE ALLIANCE, INC. PURSUANT TO FLORDIA STATUTES 287 OF ANY CHANGE IN THE INFORMATION CONTAINED IN THIS FORM.

\_\_\_\_\_  
Name & Title Printed of Certifying Proposer Representative

\_\_\_\_\_  
Signature of Certifying Proposer Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_  
who, after first being sworn by me, affixed his/her signature in the space provided above on this  
\_\_\_\_\_ day of \_\_\_\_\_, 2009.

\_\_\_\_\_  
(NOTARY PUBLIC)  
My Commission Expires:

## SUSPENSION AND DEBARMENT CERTIFICATION

1) This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_  
(Print Individual's Name & Title)

for \_\_\_\_\_  
(Print Name of Proposer Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

The Proposer agrees to and certifies, to the best of the Proposer's knowledge and belief, that:

- 2) Proposer has and shall comply with the Federal Regulations implementing Executive Order 12505, Debarment and Suspension 29 CFR Part 98, and in doing so provides to Workforce Alliance, Inc., this certification that neither Proposer, nor its principals, are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal Department or Agency.
- 3) Additionally, the Proposer shall comply with said regulation and requirement with regards to its subcontractors or assignees. It shall ensure and require the same certification from its subcontractors or assignees, which shall be forwarded to Workforce Alliance, Inc. along with the notification of assignment or subcontract of the Contract.

\_\_\_\_\_  
Name & Title Printed of Certifying Proposer Representative

\_\_\_\_\_  
Signature of Certifying Proposer Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_  
who, after first being sworn by me, affixed his/her signature in the space provided above on this  
\_\_\_\_\_ day of \_\_\_\_\_, 2009.

\_\_\_\_\_  
(NOTARY PUBLIC)  
My Commission Expires:

## CERTIFICATION & SWORN STATEMENT LOBBYING

1) This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_  
(Print Individual's Name & Title)

for \_\_\_\_\_  
(Print Name of Proposer Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

The Proposer agrees to and certifies, to the best of the Proposer's knowledge and belief, that:

- 2) As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, in providing the services to the community as described in the Contract between Workforce Alliance, Inc. and Proposer, that Proposer is performing a public function and shall, during the period of the Contract, refrain from endorsing political candidates and taking other political actions that would be illegal if taken by the Alliance.
- 3) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of a Proposer, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 4) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 5) The undersigned shall require that the language of this certification be included in the award documents for "all" sub-awards at all tiers (including subcontracts, subgrants and contracts under grants, loans, and cooperative agreements) and that all\* subrecipients shall certify and disclose accordingly.

This certification is a material representative of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_\_  
Name & Title Printed of Certifying Proposer Representative

\_\_\_\_\_  
Signature of Certifying Proposer Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_ who,  
after first being sworn by me, affixed his/her signature in the space provided above on this

\_\_\_\_\_ day of \_\_\_\_\_, 2009.

\_\_\_\_\_  
(NOTARY PUBLIC) My Commission Expires:

**ETA SALARY LIMITATION CERTIFICATION & SWORN STATEMENT PURSUANT  
TO PUBLIC LAW 109-149 SECTION 101 & OMB CIRCULAR A-133**

1. This sworn statement and certification is submitted to Workforce Alliance, Inc. by:

\_\_\_\_\_ (Print Individual's Name & Title)

for \_\_\_\_\_ (Print Name of Proposer Submitting Sworn Statement)

whose business address is \_\_\_\_\_

and its Federal Employer Identification Number is \_\_\_\_\_

2. In compliance with Public Law 109-234, none of the funds appropriated in Public Law 109-149 or prior Acts under the heading "Employment and Training" that are available for expenditures on or after July 1, 2008, shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect cost, at a rate in excess of Executive Level II, except as provided for under section 101 of Public Law 109-149. This limitation shall not apply to vendors providing goods and services as defined in OMB Circular A-133.

3. I UNDERSTAND THAT AFTER THE SUBMISSION OF THIS FORM TO WORKFORCE ALLIANCE, INC. I AM REQUIRED TO INFORM WORKFORCE ALLIANCE, INC. OF ANY CHANGE IN THE INFORMATION CONTAINED IN THIS FORM.

\_\_\_\_\_  
Name & Title Printed of Certifying Proposer Representative

\_\_\_\_\_  
Signature of Certifying Proposer Representative

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Personally appeared before me, \_\_\_\_\_  
who, after first being sworn by me, affixed his/her signature in the space provided above on this  
\_\_\_\_\_ day of \_\_\_\_\_, 2009.

\_\_\_\_\_  
(NOTARY PUBLIC)

My Commission Expires: